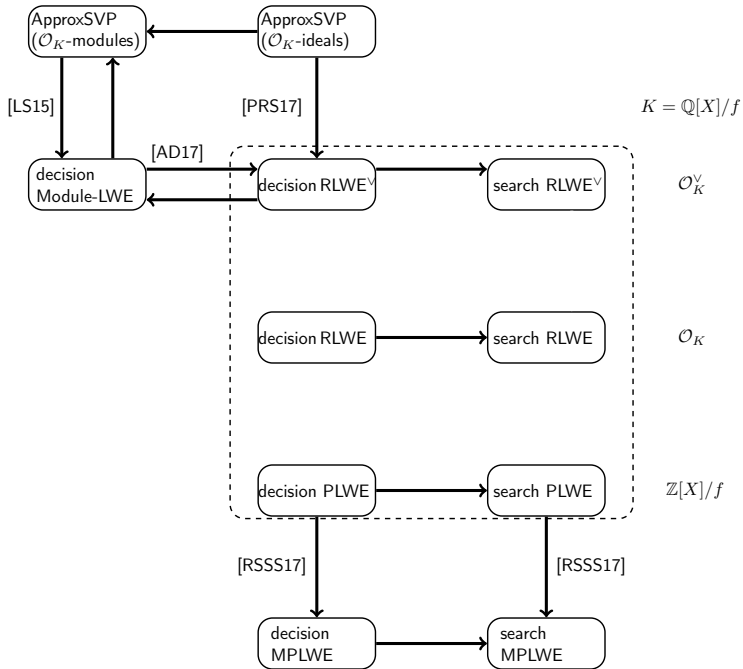
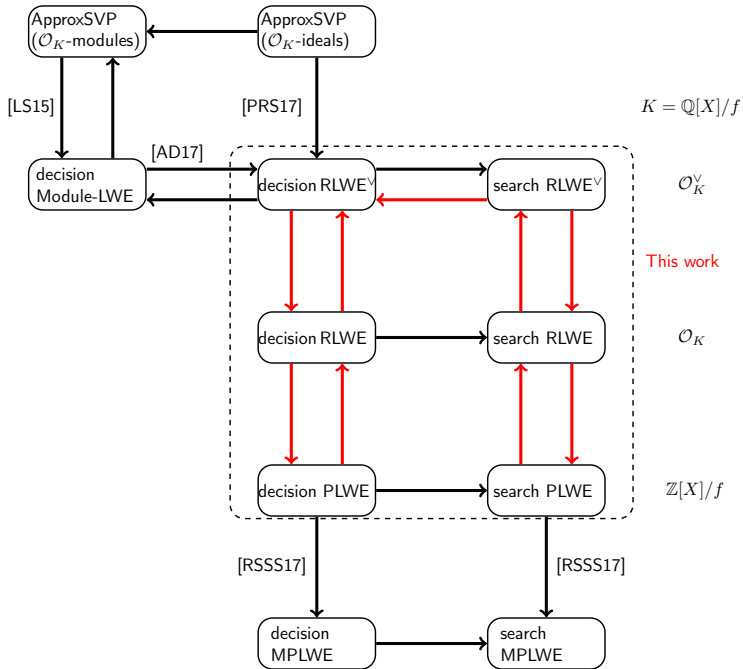


On the Ring-LWE and Polynomial-LWE problems

Miruna Roşca, Damien Stehlé, **Alexandre Wallet**







“On variants of Polynomial-LWE and Ring-LWE” (EUROCRYPT 2018)

Results:

- (A) The 3 settings are essentially[†] the same
- (B) Search = Decision in all settings.

Not described: Worst-case hardness for Polynomial-LWE.

†: for a large number of “reasonable” polynomials, up to polynomial factors on noise, assuming some information about the field are known.

- 1 LWE and Cryptography
 - Regev's encryption scheme
 - Learning With Errors (LWE) and its hardness
- 2 Ring-based LWE
- 3 Reductions between Ring-based LWE's
- 4 Search to Decision
- 5 Open problems

An encryption scheme [Regev'05]

n "security parameter", q prime, $n \leq m \leq \text{poly}(n)$, χ distribution over $\mathbb{Z}_q = \mathbb{Z}/q\mathbb{Z}$.

Alice

$$\mathbf{s} \in \mathbb{Z}_q^n$$

Evil

Bruno

$$\mu \in \{0, 1\}$$

$$\mathbf{A} \in \mathcal{M}_{m \times n}(\mathbb{Z}_q), e_i \leftarrow \chi$$
$$\mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e} \pmod q$$
$$\longrightarrow \left(\mathbf{A}, \mathbf{b} \right)$$

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Bruno

$$\mu \in \{0, 1\}$$

$$e' = b' - \langle \mathbf{a}', \mathbf{s} \rangle \pmod q \quad \longleftarrow (\mathbf{a}', b') \quad \longleftarrow \mathbf{E}_{\mathbf{A}, \mathbf{b}}(\mu) = \left(\sum_{i \in \mathcal{I}} \mathbf{a}_i, \sum_{i \in \mathcal{I}} b_i + \mu \lfloor \frac{q}{2} \rfloor \right)$$

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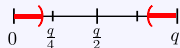
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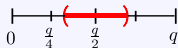
$$\text{Dec}_{\mathbf{s}}(\mathbf{a}', b') = \begin{cases} 0 & \text{if } e' \sim 0 \\ 1 & \text{if } e' \sim \frac{q}{2} \end{cases}$$

Correctness: q, m, χ chosen s.t. $e' = \sum e_i \leq \frac{q}{4}$ whp.

$\mu = 0$



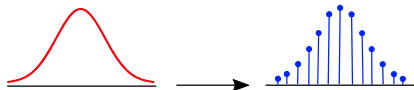
$\mu = 1$



Learning With Errors [R'05]

$n \in \mathbb{N}^*$, $q \leq \text{poly}(n)$ a prime
 $\mathbb{Z}_q := \mathbb{Z}/q\mathbb{Z}$.

$\chi \rightarrow D_r$ discrete Gaussian distribution



LWE distribution: Fix $\mathbf{s} \in \mathbb{Z}_q^n$.

$$A_{\mathbf{s}, D_r} : \begin{cases} \mathbf{a} \leftarrow \mathcal{U}(\mathbb{Z}_q^n) \\ e \leftarrow D_r \\ \text{outputs } (\mathbf{a}, b = (\langle \mathbf{a}, \mathbf{s} \rangle + e) \bmod q) \end{cases}$$

Search-LWE $_{q,r}$:

From $\left(\begin{matrix} m \\ \mathbf{A} \end{matrix} \right), \mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e}$, find \mathbf{s}

Note: In the diagram, \mathbf{A} is a matrix with height m and width n . \mathbf{b} is a vector. \mathbf{s} is a vector, and \mathbf{e} is a vector.

Hardness [R'05]

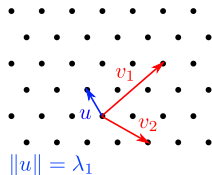
Decision-LWE $_{q,D_r}$: Given $(\mathbf{a}_i, b_i)_{i \leq m}$ either from $A_{\mathbf{s}, D_r}$ or $\mathcal{U}(\mathbb{Z}_q^n \times \mathbb{Z}_q)$, decide which one was given.

Lattice $\mathcal{L} = \mathbf{A}\mathbb{Z}^n$, $\lambda_1 =$ length of a shortest vector in $\mathcal{L} \setminus \{0\}$.

ApproxSVP $_{\gamma}$: Given $d > 0$, decide if $\lambda_1 \leq d$ or $\lambda_1 > d\gamma$.

For general lattices:

time	$\left \begin{array}{l} poly(n) \\ 2^{\tilde{O}(n)} \end{array} \right $	$2^{O(n)}$
γ		$poly(n)$



Hardness [R'05]

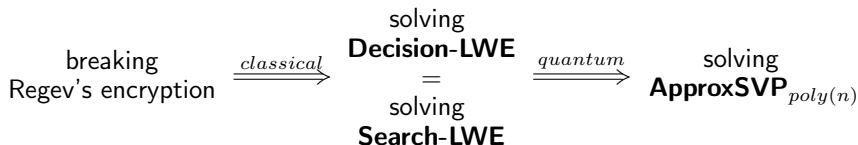
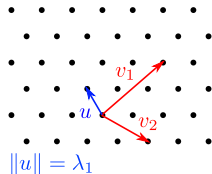
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time	$\left \begin{array}{l} poly(n) \\ 2^{\tilde{O}(n)} \end{array} \right $	$\left \begin{array}{l} 2^{O(n)} \\ poly(n) \end{array} \right $
γ		



Perks:

- ✓ simple description, simple operations
- ✓ flexible parameters, many possibilities
- ✓ **post-quantum**

Drawbacks:

- ✗ key-size
- ✗ speed (compared to other)

Frodo[†]
(NIST competitor)

VS

Current crypto
RSA 3072-bits ECDH nistp256

Public key ~ 11 KBytes

~ 400 bytes

32 bytes

Handshake ~ 2.5ms

~ 5 ms

~ 1.3 ms

†: [BCD++'17]

- 1 LWE and Cryptography
- 2 Ring-based LWE
 - Polynomial-LWE: ideal lattices
 - Ring-LWE: more algebraic number theory
- 3 Reductions between Ring-based LWE's
- 4 Search to Decision
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Add structure: ideal lattices

Change $\mathbb{Z} \rightsquigarrow R = \mathbb{Z}[X]/f$
 f monic, irreducible, degree n .

polynomials

$$s = \sum s_i X^i \in R_q = R/qR$$

Product: $a \cdot s \bmod f$

Good example: $f = X^n + 1, n = 2^d$.

vectors/matrices

$$s = (s_0, \dots, s_{n-1}) \in \mathbb{Z}_q^n$$

Mult. by $a =$ use **Toeplitz matrix**

$$T_f(a) = \begin{bmatrix} a_0 & a_1 & \dots & a_{n-1} \\ -a_{n-1} & a_0 & \dots & a_{n-2} \\ \vdots & & \ddots & \vdots \\ -a_1 & -a_2 & \dots & a_0 \end{bmatrix}$$

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Product: $\mathbf{a} \cdot \mathbf{s} \bmod f$

Noise: $e = \sum e_i X^i, e_i \leftarrow D_{r_i}$.

Sample: $(\mathbf{a}, \mathbf{b} = \mathbf{a} \cdot \mathbf{s} + e \bmod qR)$

Good example: $f = X^n + 1, n = 2^d$.

vectors/matrices

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Mult. by \mathbf{a} = use **Toeplitz matrix**

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$$\mathbf{e} = (e_0, \dots, e_{n-1}) \in \mathbb{R}^n$$

$$(\mathbf{a}, \mathbf{b} = T_f(\mathbf{a}) \cdot \mathbf{s}^\top + \mathbf{e} \bmod q)$$

Classic LWE

$$\mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e}$$

Diagram illustrating the Classic LWE equation: $\mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e}$. The vector \mathbf{b} is shown in a blue box. The matrix \mathbf{A} is shown in a blue box with a width of n . The vector \mathbf{s} is shown in a red box. The vector \mathbf{e} is shown in a green box with a height of k .

Polynomial-LWE (PLWE)

$$k'n \mathbf{b} = \begin{matrix} T_f(a_1) \\ \hline T_f(a_2) \\ \hline T_f(a_{k'}) \end{matrix} \mathbf{s} + \begin{matrix} \mathbf{e}_1 \\ \hline \mathbf{e}_2 \\ \hline \mathbf{e}_{k'} \end{matrix}$$

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1 PLWE sample = n correlated LWE samples.

PLWE and its hardness [SSTX'09]

$$R = \mathbb{Z}[X]/f$$

f monic, irreducible, degree n .

$$\vec{r} = \text{diag}(r_i)_{i \leq n}, r_i \geq 0$$

$D_{\vec{r}}$ n -dimensional **Gaussian**.

PLWE $_{q, \vec{r}, f}$ **distribution**: Fix $s \in R_q$

$$\mathcal{B}_{s, D_{\vec{r}}} : \begin{cases} a \leftarrow \mathcal{U}(R_q) \\ e \leftarrow D_{\vec{r}} \\ \text{outputs } (a, b = (a \cdot s + e) \bmod qR) \end{cases}$$

Search-PLWE $_{q, \vec{r}, f}$ and **Decision-PLWE** $_{q, \vec{r}, f}$ defined as before.

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Search-PLWE $_{q, \vec{r}, f}$ and **Decision-PLWE** $_{q, \vec{r}, f}$ defined as before.

polynomial **ideal**: $aR = \{\text{multiples of } a \text{ in } R\} \mapsto T_f(a) \cdot \mathbb{Z}^n$: ideal **lattice**

Solve **Search-PLWE** \Rightarrow solve **ApproxSVP** $_{\gamma}$ in **ideal lattices** for $\gamma \leq \text{poly}(n)$.

Practice vs. Theory

Perks:

- ✓ fast and compact operations
- ✓ still post-quantum

Theoretical limitations:

- ✗ γ depends on f 's “expansion factor”
- ✗ Working with R relies too much on f

New Hope[†]
(NIST competitor)

Public key: ~ 2 KBytes

Handshake: ~ 0.3 ms

- Restricts “good f 's”
- Difficult proofs, lacks tools and flexibility

†: [ADPS'15]

Number fields and rings

$R = \mathbb{Z}[X]/f$ is a **number ring**. Lives in $K = \mathbb{Q}[X]/f$, a **number field**.

Structure: $K = \text{Span}_{\mathbb{Q}}(1, X, \dots, X^{n-1})$ where $n = \deg f$

Field embeddings: $\sigma_j(a) = \sum a_i \alpha_j^i \in \mathbb{C}$ where $f = \prod_{i \leq n} (X - \alpha_j)$.

f has s_1 real roots and $2s_2$ (conjugate) complex roots.

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The space $H = \{(v_1, \dots, v_n) \in \mathbb{R}^{s_1} \times \mathbb{C}^{2s_2} : \forall i \geq 1, v_{i+s_1+s_2} = \overline{v_{i+s_1}}\}$.

Two representations

Coefficient embedding

$$a \mapsto \mathbf{a} = (a_0, \dots, a_{n-1}) \in \mathbb{Q}^n$$

Minkowski embedding

$$a \mapsto \sigma(a) = (\sigma_1(a), \dots, \sigma_n(a)) \in H$$

$$\sigma(ab) = (\sigma_i(a)\sigma_i(b))_{i \leq n}$$

The ring of algebraic integers

$$\mathcal{O}_K = \{x \in K \text{ roots of monic polynomials in } \mathbb{Z}[X]\}$$

It is a lattice: $\mathcal{O}_K = \mathbb{Z}b_1 + \dots + \mathbb{Z}b_n$ for some $b_i \in \mathcal{O}_K$ ($b_i \neq 0$).

Dual (lattice): $\mathcal{O}_K^\vee = \{\mathbf{y} \in H : \forall \mathbf{x} \in \mathcal{O}_K, \langle \mathbf{y}, \mathbf{x} \rangle \in \mathbb{Z}\}$.

- ✓ \mathcal{O}_K is a **regularization** of $R = \mathbb{Z}[X]/f$
 - $R \subsetneq \mathcal{O}_K$ in general

- ✓ \mathcal{O}_K is **intrinsic** to K : its structure **does not depend** on f

It may not be possible to take $1, X, \dots, X^{n-1}$ as a basis

Computing a \mathbb{Z} -basis for \mathcal{O}_K is usually **hard**.

RLWE [LPR'10]

$R \rightsquigarrow \mathcal{O}_K$, use Minkowski embedding.

Assume a \mathbb{Z} -basis of \mathcal{O}_K is known.

$H = \text{Span}_{\mathbb{R}}(\mathbf{v}_1, \dots, \mathbf{v}_n)$

$D_{\vec{r}}^H : e_i \leftrightarrow D_{r_i}$, outputs $e = \sum e_i \mathbf{v}_i \in H$.

RLWE $_{q, \vec{r}}^{\vee}$ distribution: Fix $\mathbf{s} \in \mathcal{O}_{K, q}^{\vee} := \mathcal{O}_K^{\vee} / q\mathcal{O}_K^{\vee}$

$$\mathcal{A}_{\mathbf{s}, D_{\vec{r}}}^{\vee} : \begin{cases} a \leftarrow \mathcal{U}(\mathcal{O}_{K, q}) \\ e \leftarrow D_{\vec{r}}^H \\ \text{outputs } (a, b = (a\mathbf{s} + e) \bmod q\mathcal{O}_K^{\vee}) \end{cases}$$

Search-RLWE $_{q, \vec{r}}^{\vee}$ and **Decision-RLWE $_{q, \vec{r}}^{\vee}$** defined as before.

“Primal” variant: $\mathbf{s} \in \mathcal{O}_{K, q} := \mathcal{O}_K / q\mathcal{O}_K$.

✓ “Canonical” objects



✓ Easier proofs/noise management



[LPR'10] **Decision-RLWE[∨]** = **Search-RLWE[∨]** for Galois fields

[PRS'17] **Decision** ⇒ **ApproxSVP** for **RLWE[∨]**, **RLWE**, **PLWE**

What is left?

- Using **RLWE[∨]** variants → Need to deal with \mathcal{O}_K^\vee
- \mathbb{Z} -basis of \mathcal{O}_K ? → long precomputations for some f 's, **non-uniform** reductions
- In practice, f stays cyclotomic. → What if cyclotomic fields are “weak”?

- (A) Relations between **PLWE**, **RLWE**, **RLWE[∨]**?
- (B) Are **Decision** and **Search** equivalent in Ring-based **LWE**?
- (C) Are there “weaker” fields for **ApproxSVP**? For Ring-based **LWE**?
- (D) Are there other (better?) structures than ideal lattices for **LWE**?

(A) Relations between **PLWE**, **RLWE**, **RLWE[∨]**?

**New
Results!**

(B) Are **Decision** and **Search** equivalent in Ring-based LWE?

(C) Are there “weaker” fields for **ApproxSVP**? For Ring-based **LWE**?
“Ill-defined”: [EHL'14, ELOS'15, CLS'15, HCS'16]

(D) Are there other (better?) structures than ideal lattices for LWE?
Adressed in [LS'15, AD'17, RSSS'17]

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- 2 Ring-based LWE
- 3 Reductions between Ring-based LWE's
 - Controlled RLWE^V to RLWE
 - From \mathcal{O}_K to R with the conductor
 - Large families of nice polynomials
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Transforming samples [LPR'10, LPR'13]

Goal: map $\mathcal{A}_{s,\Sigma}^\vee$ to $\mathcal{A}_{s',\Sigma'}$ and “uniform” to “uniform”

Want: $\theta : \begin{array}{ccc} \mathcal{O}_{K,q} \times \mathcal{O}_{K,q}^\vee & \longrightarrow & \mathcal{O}_{K,q} \times \mathcal{O}_{K,q} \\ (a,b) & \longmapsto & (a',b') \end{array}$, respecting the distributions.

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Assume $\exists \mathbf{t} \in \mathcal{O}_K$ such that $[\times \mathbf{t}] : \mathcal{O}_{K,q}^\vee \simeq \mathcal{O}_{K,q}$. Let $\theta_{\mathbf{t}}(a, b) = (a, \mathbf{t}b \bmod q)$.

If $(a, b) \leftrightarrow \mathcal{A}_{s,\Sigma}^\vee$:

$$\mathbf{t}b = a(\mathbf{t}s) + \mathbf{t}e, \mathbf{t}e \leftrightarrow D_{\Sigma'}^H$$

$$\Sigma' = \text{diag} [|\sigma_i(\mathbf{t})|] \cdot \Sigma \cdot \text{diag} [|\sigma_i(\mathbf{t})|]$$

If $(a, b) \leftrightarrow$ uniform:

$[\times \mathbf{t}]$ isomorphism $\Rightarrow (a, \mathbf{t}b)$ uniform

Questions:

- 1) Does such \mathbf{t} exist?
- 2) How large is $\mathbf{t}e$?

From RLWE^\vee to RLWE

[LPR'10] Compute \mathbf{t} in $\text{poly}(n)$ -time with CRT

✓ Existence

✗ Size



Our result: An adequate \mathbf{t} with $\|\sigma(\mathbf{t})\| \leq \text{poly}(n)$ exists in an adequate lattice.

✓ Existence

✓ Size

Consequence: solving $\text{RLWE}_{q,\Sigma'}$ \Rightarrow solving $\text{RLWE}_{q,\Sigma}^\vee$

$$\Sigma' \xleftarrow[\text{loss}]{\text{poly}(n)} \Sigma$$

Our result: An adequate \mathbf{t} with $\|\sigma(\mathbf{t})\| \leq \text{poly}(n)$ exists in an adequate lattice.

- **Idea:** use **Gaussian sampling** in $(\mathcal{O}_K^\vee)^{-1}$.
- **Main difficulty:** achieving a small enough standard deviation
 - Require factorization of $q\mathcal{O}_K$ in prime ideals in \mathcal{O}_K (non-uniform reduction)

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- Tools:
 - Inclusion/exclusion
 - Case disjunction on factors' size (norm)
 - "Smoothness parameters" of lattices
 - Tail bounds on Gaussian distributions

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Mapping RLWE to PLWE-like

Goal: map $\mathcal{A}_{s,\Sigma}$ to $\mathcal{B}_{s',\Sigma'}$ and “uniform” to “uniform”

Want: $\theta : \begin{array}{ccc} \mathcal{O}_{K,q} \times \mathcal{O}_{K,q} & \longrightarrow & R_q \times R_q \\ (a, b) & \longmapsto & (a', b') \end{array}$, respecting the distributions.

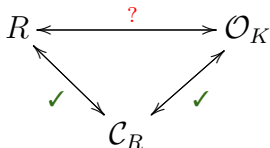
Result: We can find $[\times \mathbf{t}] : \mathcal{O}_{K,q} \simeq R_q$, such that $\|\sigma(\mathbf{t})\| \leq \text{poly}(n)$, for some \mathbf{t} in the conductor ideal $\mathcal{C}_R = \{\mathbf{t} \in K : \mathbf{t}\mathcal{O}_K \subset R\}$.

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\mathcal{C}_R “interpolates” between R and \mathcal{O}_K

Lemma: if $q \nmid \Delta(f)$, then
 $R_q \simeq \mathcal{C}_R/q\mathcal{C}_R \simeq \mathcal{O}_{K,q}$.

- Control $\|\sigma(\mathbf{t})\|$ with the same technique as earlier

“Minkowski noise”

Good candidate: $\theta_{\mathbf{t}}(a, b) = (\mathbf{t}a, \mathbf{t}^2b \bmod q)$, for \mathbf{t} as above

If $(a, b) \leftrightarrow \mathcal{A}_{s, \Sigma}$:

$$\mathbf{t}^2b = (\mathbf{t}a)(\mathbf{t}s) + \mathbf{t}^2e$$

If $(a, b) \leftrightarrow$ uniform:

$[\times \mathbf{t}]$ isomorphism $\Rightarrow (\mathbf{t}a, \mathbf{t}^2b)$ uniform

$$e' = \mathbf{t}^2e \leftrightarrow D_{\Sigma_{\mathbf{t}}}^H, \text{ where } \Sigma_{\mathbf{t}} = \text{diag}[|\sigma_i(\mathbf{t})|^2] \cdot \Sigma \cdot \text{diag}[|\sigma_i(\mathbf{t})|^2].$$

e' lives in H , while PLWE_f asks for “Coefficient” representation.

“Minkowski” vs “Coefficient”

Relation between embeddings:

$$\sigma(a) = \mathbf{V}_f \cdot \mathbf{a}, \text{ with } \mathbf{V}_f = \begin{bmatrix} 1 & \alpha_1 & \alpha_1^2 & \dots & \alpha_1^{n-1} \\ 1 & \alpha_2 & \alpha_2^2 & \dots & \alpha_2^{n-1} \\ \vdots & & & & \vdots \\ 1 & \alpha_n & \alpha_n^2 & \dots & \alpha_n^{n-1} \end{bmatrix}$$

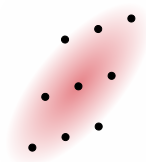
New noise: $\mathbf{V}_f^{-1} \sigma(e') \leftrightarrow D_{\Sigma'}$, with $\Sigma' = \mathbf{V}_f^{-\top} \Sigma_t \mathbf{V}_f^{-1}$

Possible situations

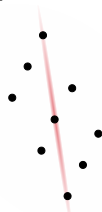
\mathbf{V}_f^{-1} reasonable



\mathbf{V}_f^{-1} too large



\mathbf{V}_f^{-1} too skew

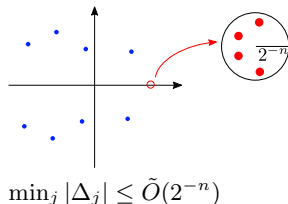


Inverse Vandermondes and roots separation

$$\mathbf{V}_f^{-1} = \left(\frac{S_{i,j}}{\Delta_j} \right)_{i,j}, \text{ where } \Delta_j = \prod_{k \neq j} (\alpha_k - \alpha_j).$$

Main difficulties:

- Δ_j can be exponentially small [BM'04]



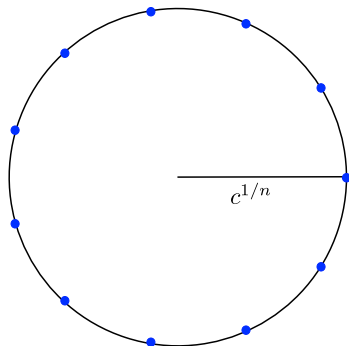
- Bound for a large class of polynomials

Goal: A large family of irreducible polynomials in $\mathbb{Z}[X]$ with $\|\mathbf{V}_f^{-1}\| \leq \text{poly}(n)$.

Perturbations of a good situation

(1) $f := X^n - c \in \mathbb{Z}[X]$, with $\alpha_j = c^{1/n} e^{2i\pi \frac{j}{n}}$.

$$\|\mathbf{V}_f^{-1}\|_\infty = 1.$$



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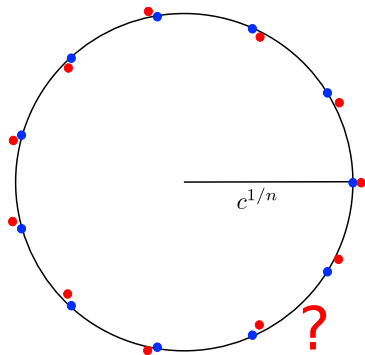
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(2) Let $P = \sum_{i=1}^{n/2} p_i X^i \in \mathbb{Z}[X]$.

Perturbation: $g := f + P = \prod_{i=1}^n (X - \beta_j)$

If “ P small”, β_i 's should stay close to α_i 's.



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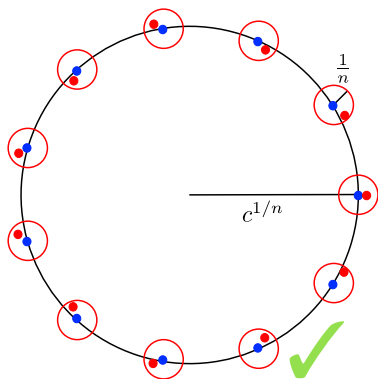
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Theorem (Rouché)

If $|P(z)| < |f(z)|$ on a circle, then f and $f + P$ have the same numbers of zeros inside this circle.

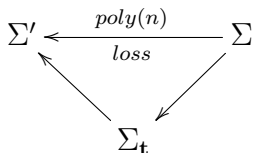


Completing the reduction

Result: We can exhibit exponentially many $f \in \mathbb{Z}[X]$, monic and irreducible, such that $\|\mathbf{V}_f^{-1}\| \leq \text{poly}(n)$.

For any such f , we have in K_f :

solving $\text{PLWE}_{q,\Sigma',f} \Rightarrow$ solving $\text{RLWE}_{q,\Sigma}$



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- **Main difficulty:** lower bound on $|\Delta_j| = \prod_{j \neq k} |\beta_k - \beta_j|$.

Ingredients and tools

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- Steps:
 - Bound $|P(z)|, |f(z)|$ on $D(\alpha_i, \frac{1}{n}) \Rightarrow$ conditions on $c, \|P\|_1$.
 - Assume conditions are met.
Rouché's theorem implies $|\Delta_j| \geq \prod \left(\underbrace{|\alpha_k - \alpha_j|}_{\text{well-known}} - \frac{2}{n} \right)$
 - Irreducibility when c is a large enough prime

Search to Decision (shortest version)

Given: $\left(\mathbf{A}, \mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e} \right) + \text{disting. oracle, find } \mathbf{s}.$

Main steps:

Generate **RLWE**-like
samples using Gaussians

$$t_i \leftarrow D_{\sigma, \mathcal{O}_K}$$

→

Get good approximations
of noise in poly time
[PRS'17]

Difficulty: Find minimal σ s.t. linear combinations of t_i 's look uniform.

Result: Leftover Hash Lemma over number rings.

a_1, \dots, a_m : rows of \mathbf{A} . Standard dev. $\sigma \geq \tilde{O}(\sqrt{n} \cdot \Delta_K^{1/n} \cdot q^{1/m})$.

If $t_i \leftarrow D_{\sigma, \mathcal{O}_K}$, then $\sum_{i \leq m} a_i t_i$ is statistically indistinguishable from uniform.

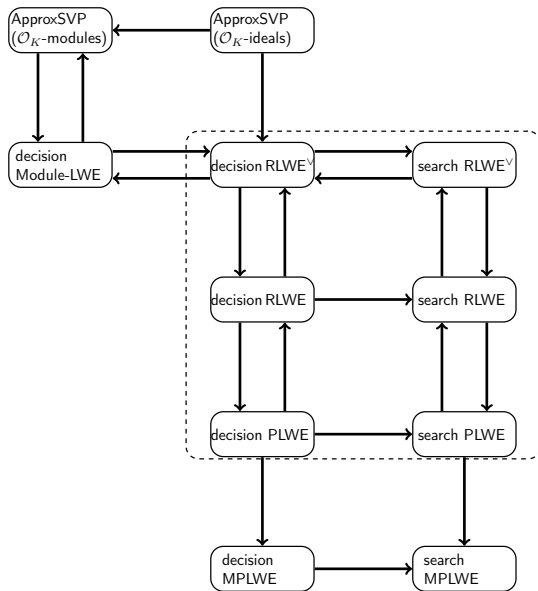
- 1 LWE and Cryptography
- 2 Ring-based LWE
- 3 Reductions between Ring-based LWE's
- 4 Search to Decision
- 5 Open problems

Open problems related to this work

- (A) Make reductions uniform.
- (B) $\|V_f^{-1}\| \leq \tilde{O}(n^{3.5})$ in proof vs. $\|V_f^{-1}\| \sim 1$ in practice. Improvement?
- (C) Are there “weaker” fields for **ApproxSVP**? For Ring-based **LWE**?

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Thank you :)